



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RESPONSE UNDER RULE 116  
EXPEDITED HANDLING PROCEDURES

In Patent Application of

Atty Dkt. 3642-2

C# M#

WEINBERGER

TC/A.U.

3624

Serial No. 09/675,658

Examiner: J. Patel

Filed: September 29, 2000

Date: December 15, 2004

Title: MUTUAL FUND ANALYSIS METHOD AND SYSTEM

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**BOX AF****Mail Stop AF**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**RESPONSE/AMENDMENT/LETTER**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☒ **Correspondence Address Indication Form Attached.****Fees are attached as calculated below:**

Total effective claims after amendment 21 minus highest number  
previously paid for 21 (at least 20) = 0 x \$ 50.00 \$ 0.00

Independent claims after amendment 4 minus highest number  
previously paid for 4 (at least 3) = 0 x \$ 200.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$360.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) (\$120.00/1 month; \$450.00/2 months; \$1020.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 130.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$790.00) \$ 0.00

☐ Please enter the previously unentered, filed

☐ Submission attached

**Subtotal \$ 0.00**

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00

☒ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: 0.00

**TOTAL FEE ENCLOSED \$ 0.00**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.  
By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: Alan M. Kagen



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

WEINBERGER

Atty. Ref.: 3642-2; Confirmation No. 3836

Appl. No. 09/675,658

TC/A.U. 3624

Filed: September 29, 2000

Examiner: J. Patel

For: MUTUAL FUND ANALYSIS METHOD AND SYSTEM

\* \* \* \* \*

December 15, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AFTER FINAL REJECTION**

In response to the Office Action dated September 16, 2004, please amend the  
above-identified patent application as follows:

**Amendments to the Specification** begin on page 2.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3.

**Remarks/Arguments** begin on page 8.